

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/808,720	03/15/2001	Hakon Gudbjartsson	2345.2003-001

CONFIRMATION NO. 5511

## FORMALITIES LETTER



\*OC000000006087942\*

Mary Lou Wakimura, Esq.  
HAMILTON, BROOK, SMITH & REYNOLDS, P.C.  
Two Militia Drive  
Lexington, MA 02421-4799

Date Mailed: 05/17/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/05/2001 BSAYASI1 00000074 09808720

FILED UNDER 37 CFR 1.53(b)

01 FC:101	710.00 OP
02 FC:103	342.00 OP
03 FC:105	130.00 OP

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$342.
  - \$342 for 19 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1182.

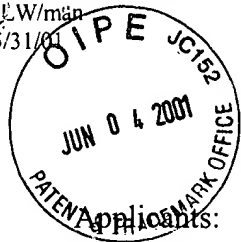
*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Section 1 \$  
#3



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Hakon Gubdjartsson, Sverrir Karlsson and Skeggi Thormar  
Application No.: 09/808,720 Group: 2131  
Filed: March 15, 2001 Examiner: Not assigned  
For: AUTOMATIC IDENTITY PROTECTION SYSTEM WITH REMOTE  
THIRD PARTY MONITORING

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231	
on <u>6/1/01</u>	<u>Margaret A. Norratt</u>
Date	Signature
<u>Margaret A. Norratt</u>	
Typed or printed name of person signing certificate	

REPLY TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In reply to the Notice to File Missing Parts dated May 17, 2001, the following documents and fees are being submitted for filing in the captioned application. A copy of the Notice is attached.

- ☒ **EXECUTED DECLARATION/POWER OF ATTORNEY**
- ☒ **FILING FEE** - with Fee Transmittal for Patent Applications in duplicate.
- ☒ **SURCHARGE** - surcharge fee of \$130.00.
- ☐ **SEQUENCE LISTING** - Filed concurrently and is attached.

☐ **TRANSMITTAL OF SUBSTITUTE DRAWINGS**☐ Sheets of substitute drawings consisting of Figs. 1 - ☐ are enclosed.☐ **PETITION FOR EXTENSION OF TIME**☐ Applicant hereby petitions to extend the time to respond to the Notice to File Missing Parts dated ☐ for ☐ month(s) from ☐ to ☐. The appropriate fee of \$☐ is included in the enclosed check.☐ A ☐ month extension of time to respond to the Notice to File Missing Parts dated ☐ was filed on ☐ with payment of a \$☐ fee.☐ Applicant hereby petitions for an additional ☐ month extension of time to respond to the Notice to File Missing Parts. The appropriate fee of \$☐ is included in the enclosed check.☐ **REQUEST FOR CORRECTED FILING RECEIPT** - Filed concurrently and is attached.☐ **STATEMENT CLAIMING SMALL ENTITY STATUS**☐ Was filed on ☐.☐ Is enclosed herewith.☐ In view of the small entity status of the captioned application, we hereby request a reimbursement of 50% of the filing fees in the amount of \$☐ which were paid on ☐ to be deposited in Deposit Account No. 08-0380.

The fees required for filing the indicated documents are enclosed in the form of a check in the total amount of \$1182.00. Please charge any deficiency or credit any overpayment in the fees that may be due in this matter to Deposit Account No. 08-0380. A copy of this letter is enclosed for accounting purposes.

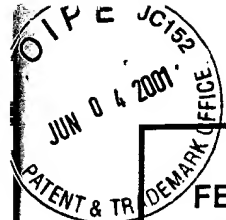
Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Mary Lou Wakimura  
Mary Lou Wakimura  
Registration No.: 31,804  
Tel.: (781) 861-6240  
Fax: (781) 861-9540

Lexington, Massachusetts 02421-4799

Date: 5/31/01



# HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

FEE TRANSMITTAL FOR PATENT APPLICATIONS		Attorney Docket Number	2345.2003-001		
		Application Number	09/808,720		
		First Named Inventor	Hakon Gudbjartsson		
CLAIM CALCULATION (includes any preliminary amendment)					
CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c) or (j))	39 - 20* =	19	x \$ 18 =	\$ 342
	INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i))	2 - 3** =	0	x \$ 80 =	\$ 0
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$ 270 =	\$
				BASIC FEE (37 CFR 1.16(a) or (h))	\$ 710
				Total of above Calculations =	\$ 1052
	Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) =			\$	
	TOTAL =			\$ 1052	
	Surcharge - Late Filing of Declaration or Filing Fees (37 C.F.R. 1.16(e)) =			\$ 130	
	Petition for Extension of Time Fee (37 C.F.R. 1.17) =			\$	
	Assignment Recordation Fee = (only when filed with application)			\$	
* Reissue claims in excess of 20 and over original patent ** Reissue independent claims over original patent			TOTAL =	\$ 1182	
1. Small entity status:					
a. <input type="checkbox"/> A small entity statement is enclosed.					
b. <input type="checkbox"/> A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.					
c. <input type="checkbox"/> Is no longer claimed.					
2. <input checked="" type="checkbox"/> A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.					
3. <input checked="" type="checkbox"/> A check is enclosed for \$1182. <input type="checkbox"/> Please charge \$[ ] to Deposit Account No. 08-0380.					
4. <input type="checkbox"/> Other: _____					
Signature		Mary Lou Wakimura		Date	5/31/01
Submitted by Typed or Printed Name		Mary Lou Wakimura		Reg. Number	31,804



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Hakon Gudbjartsson, Sverrir Karlsson and Skeggi Thormar

Application No.: 09/808,720 Group: Unknown

Filed: March 15, 2001 Examiner: Unknown

For: AUTOMATIC IDENTITY PROTECTION SYSTEM WITH REMOTE  
THIRD PARTY MONITORING

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231	
on <u>6/1/01</u>	<u>Margaret A. Norcutt</u>
Date	Signature
<u>Margaret A. Norcutt</u>	
Typed or printed name of person signing certificate	

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith is a Preliminary Amendment for filing in the above-identified application.

[ ] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a Small Entity Statement previously submitted.

[ ] A Small Entity Statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.

The fee has been calculated as shown below:

(COL. 1)	(COL. 2)	(COL. 3)	(COL. 4)	(COL. 5)
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA
TOTAL	39	MINUS	* 39	
INDEP	2	MINUS	** 3	
<input type="checkbox"/>	FIRST PRESENTATION OF MULTIPLE DEP. CLAIM			

\* not fewer than 20  
\*\* not fewer than 3

SMALL ENTITY	
RATE	ADDIT. FEE
X \$9	\$
X \$40	\$
+ \$135	\$

TOTAL = \$ 0

OTHER THAN SMALL ENTITY	
RATE	ADDIT. FEE
X \$18	\$
X \$80	\$
+ \$270	\$

TOTAL = \$ 0

Please charge Deposit Account No. 08-0380 for the following fees:

<input type="checkbox"/>	Petition for [ ] month Extension of Time	\$	_____
<input type="checkbox"/>	Amendment Fee	\$	_____
<input type="checkbox"/>	Other Fees:		
	_____	\$	_____
	_____	\$	_____
	TOTAL:	\$	<u>0</u>

A check is enclosed in payment of the following fees:

<input type="checkbox"/>	Petition for [ ] month Extension of Time	\$	_____
<input type="checkbox"/>	Amendment Fee	\$	_____
<input type="checkbox"/>	Other Fees:		
	_____	\$	_____
	_____	\$	_____
	TOTAL:	\$	<u>0</u>

☒ A general authorization is hereby granted to charge Deposit Account No. 08-0380 for any fees required under 37 C.F.R. 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Mary Lou Wakimura  
Mary Lou Wakimura  
Registration No.: 31,804  
Telephone: (781) 861-6240  
Facsimile: (781) 861-9540

Lexington, Massachusetts 02421-4799

Dated: 5/31/01

MLW/da  
05/06/01



#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Hakon Gudbjartsson, Sverrir Karlsson and Skeggi Thormar

Application No.: 09/808,720 Group: Unknown

Filed: March 15, 2001 Examiner: Unknown

For: AUTOMATIC IDENTITY PROTECTION SYSTEM WITH REMOTE THIRD PARTY MONITORING

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on <u>6/1/01</u>	<u>Margaret A. Norcett</u>
Date	Signature
<u>Margaret A. Norcett</u>	
Typed or printed name of person signing certificate	

TRANSMITTAL OF PROPOSED DRAWING CORRECTION

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith is a substitute formal drawing (Sheet 2 of 5, Fig. 2) for filing in the subject patent application. Also enclosed is a copy of original formal drawing Fig. 2, with the proposed correction highlighted in red. An oval line has been inserted in Fig. 2 for clarification. Support for this insertion of the oval line indicating 175 within computer 201 is found at least on Specification page 6, lines 24 - page 7, line 15 as originally filed.

A substitute formal drawing for the captioned application with Fig. 2 modified is also attached. Acceptance of this substitute drawing is requested.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Mary Lou Wakimura  
Mary Lou Wakimura  
Registration No.: 31,804  
Tel.: (781) 861-6240  
Fax: (781) 861-9540

Lexington, MA 02421-4799  
Date: 5/5/01